



# A real-time response relative humidity sensor based on a loop microfiber coated with polyvinyl alcohol film

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## ABSTRACT

A high sensitivity optical fiber loop-based relative humidity (RH) sensor coated with polyvinyl alcohol (PVA) film is demonstrated, which has low-temperature sensitivity. The loop region of the sensor (micron-sized diameter) is very sensitive to the environmental medium due to strong evanescent fields out of fiber cladding. The refractive index (RI) sensitivity is as high as 2979 nm/RIU, while the temperature sensitivity is relatively low at 0.0075 nm/°C. The experimental results show that the sensor has the RH sensitivity of 0.533 nm/%RH (wavelength modulation) and −0.213 dBm/%RH (intensity modulation). The proposed sensor has good real-time, stability and repeatability, which provides a guarantee for accurate RH measurement.

## 1. Introduction

Relative humidity (RH) is an important part of environmental monitoring, which is widely used in agricultural production, atmospheric environment, food safety, archives management, electronic products production, civil engineering, and human respiration monitoring, etc. [1–6]. In recent years, many explorations and researches in RH sensing have been reported. Conventional RH sensors mainly use resistive and capacitive electronic sensors [7–10]. Some sensors have such problems as low sensitivity, complex fabrication process, large volume, weak corrosion resistance ability, and poor anti-electromagnetic interference ability, which aren't appropriate for working in magnetic fields of industrial manufacturing and magnetic resonance imaging systems.

Optical fiber sensors have attracted much attention due to miniaturization, lightweight, corrosion resistance, and anti-electromagnetic interference [11–13]. To further improve the sensitivity of fiber sensors, it is widely discussed that different RH-sensitive media are applied to various optical structures. Oliveira R et al. have proposed a dual-fiber sensor composed of a Fabry-Perot cavity based on NOA78 adhesive and a polymer fiber Bragg grating for simultaneous detection of humidity and temperature [14]. Chen G Y et al. have presented an optical hygrometer coating polyelectrolyte on multimode fiber with the sensitivity of 0.14 dB/%RH [15]. Sun D et al. have proposed that the twisted microfiber can eliminate the cross-sensitivity effect of temperature while

measuring RH and the sensitivity is 121.6 pm/%RH between 46 % and 72 % [16]. Zain H A et al. have reported a zinc oxide nanorods coated microfiber loop resonator for the RH sensing with the sensitivity of 0.2774 dBm/%RH [17]. Yin B et al. have reported a uniform fiber Bragg grating and a polyvinyl alcohol (PVA) film-coated long-period grating for detecting the RH and temperature [18]. Maciak E et al. have made a low-coherence interferometric fiber optic sensor for humidity monitoring based on Nafion® thin film [19]. Among them, the microfiber has the characteristics of relatively low cost, strong evanescent field, low loss, and strong flexibility, which provides a feasible way for exploring more efficient and economical RH sensing applications.

As a kind of RH sensitive material, PVA is a water-soluble polymer, which is characterized by good compactness, high crystallinity, strong adhesion, flexible and smooth film, oil resistance, solvent resistance, and good gas permeability. This material used by sensors is non-toxic and environmentally friendly, so it can be applied in food processing, environmental monitoring, and clinical medicine on a large scale with bright prospects. Penza M et al. have described a high sensitivity surface acoustic wave sensor system for RH detection using chemically interactive PVA film [20]. Ainhua G et al. have proposed an optical fiber RH sensor with PVA film covered on a single-mode side-polished fiber [21]. Yan G et al. have designed knob integrated fiber Bragg grating with PVA film for simultaneous measurement of RH and temperature [22]. Yeo J et al. have compared three kinds of polymer-coated microfiber sensors and found that PVA has the best humidity sensing performance [23].

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Cheng Q H et al. have proposed a tapered coreless fiber and a graphene oxide coated fiber Bragg grating for humidity measurement with a sensitivity of 143.27 pm/%RH [24]. Lin H et al. have proposed a femtosecond laser-induced spiral micro-structured single mode-multimode-single mode fiber structure coated with PVA film for detecting RH and the sensitivity is 650 pm/%RH from 35% to 70 %RH [25]. Zebian H Y et al. have proposed the RH sensor based on a no-core multimode interferometer coated with Al<sub>2</sub>O<sub>3</sub>-PVA composite films with a sensitivity of 0.587 nm/%RH [26]. Chen N et al. have demonstrated a high sensitivity humidity sensor with low-temperature sensitivity coated with PVA, which has a high humidity sensitivity of 0.1194 nm/%RH [27]. Most of these sensors have low sensitivity or a complex fabrication process, so it is necessary to find a kind of RH sensor with high sensitivity and simple fabrication.

In this paper, we demonstrate the RH sensor that combines the loop fiber with PVA coating to realize a high RH sensitivity and a low-temperature sensitivity. After tapering, the optical fiber forms a loop structure under the combined action of torsional force, electrostatic force, and van der Waals force. The refractive index (RI) sensitivity is up to 2979 nm/RIU and the temperature sensitivity is as low as 0.0075 nm/°C, which indicates that the loop structure has a high refractive index sensitivity and low-temperature cross response. PVA coating with fiber surface forming a uniform and stable film by the dip-coating method. The experimental results show that in the range of 40–76 %RH, the sensitivity of this sensor is as high as 0.533 nm/%RH and −0.213 dBm/%RH, with a response time of 1.8 s and a recovery time of 4 s. The proposed device exhibits excellent stability, durability against electromagnetic interference, flexibility, and miniaturization required by next-generation technologies for RH monitoring.

## 2. Sensing principle

Considering the symmetrical structure of the uniformly tapered cylindrical fiber, the coupling of different HE<sub>1n</sub> local modes is mainly concentrated at the loop intersection. The coupling of the HE<sub>11</sub> fundamental mode and the HE<sub>12</sub> high-order mode is significantly stronger than other high-order modes [28]. When the length of the loop micro-fiber sensor structure or the refractive index change of the medium, the interference wavelength shifts. Wavelength change can be expressed as [29]:

$$\delta\lambda = \lambda \cdot \frac{1}{\Gamma} \left( \frac{\delta L}{L} + \frac{\delta(\Delta n)}{\Delta n} \right) \quad (1)$$

where  $\delta L$  is the variation of loop length, the length of  $L$  microring,  $\Delta n$  is the effective refractive index difference between the HE<sub>11</sub> mode and the HE<sub>12</sub> mode, and  $\lambda$  is the detecting wavelength.  $\Gamma$  is the dispersion factor:  $\Gamma = 1 - \frac{\lambda}{\Delta n} \frac{d\Delta n}{d\lambda}$ . This value of microfiber is generally negative. In our experiments, due to the very stable structure of micro-loops,  $\delta L$  can be neglected, so the Eq. (1) can be simplified to:

$$\delta\lambda = \lambda \cdot \frac{1}{\Gamma} \frac{\delta(\Delta n)}{\Delta n} \quad (2)$$

Normally, the external RI sensitivity can be expressed as [28]:

$$\frac{d\lambda}{dn_{med}} = \lambda \cdot \frac{1}{\Gamma} \left( \frac{1}{\Delta n} \frac{d\Delta n}{dn_{med}} \right) \quad (3)$$

A slight change under different RH external circumstances will cause the sensor's interference fringes to drift. RH sensitivity can be expressed as [30]:

$$\frac{d\lambda}{dRH} = \lambda \cdot \frac{1}{\Gamma} \left( \frac{1}{\Delta n} \frac{d\Delta n}{dn_{med}} \frac{dn_{med}}{dRH} \right) \quad (4)$$

where  $n_{med}$  represents the refractive index of the medium.

The sensing mechanism is based on the refractive index change of medium molecules. In this paper, the optical fiber sensing part is mainly

composed of the tapered fiber structure and the PVA film attached to its surface. Based on the Lorentz-Lorenz formula, the refractive index  $R$  of the medium molecules ( $R$  means the sum of the constituent ions' polarization degree) can be expressed as [31]

$$R = \frac{n_{med}^2 - 1}{n_{med}^2 + 2} \frac{M}{\rho} = \frac{4\pi}{3} N_A \gamma \quad (5)$$

where  $n_{med}$  is the refractive index of the medium.  $M$  is the medium molecular weight of the medium.  $\rho$  is the medium density.  $N_A$  is Avogadro constant, and  $\gamma$  is the medium polarization index. According to Eq. (5), we can get the function expression of  $n_{med}$  as follows:

$$\frac{n_{med}^2 - 1}{n_{med}^2 + 2} = \frac{4\pi\rho N_A \gamma}{3M} \quad (6)$$

We assume that the left side of the expression of Eq. (6) is  $N$ . So, Eq. (7) transformation can be expressed as:

$$N = \frac{n_{med}^2 - 1}{n_{med}^2 + 2} \quad (7)$$

Further solving the Eq. (7) to get the  $n_{med}$  expression as follows:

$$n_{med}^2 = \frac{1 + 2N}{1 - N} \quad (8)$$

From the above equation, we can get that the refractive index changes can be related to medium density. Under assuming other factors remain unchanged if the medium shrunk after absorbing water, the density  $\rho$  increases leading to an increase in the refractive index  $n_{med}$ . But perhaps it is the reverse that the medium swelled after absorbing water to cause the density  $\rho$  decreases, which ultimately affect the index of refraction [32]. Combining Eq. (4) and (8), when the refractive index of PVA coating changes after absorbing water in different RH conditions,  $\Delta n_{med}$  will change with the increase of external RH, then the interference spectrum shifts continuously.

## 3. Experimental results and discussion

### 3.1. Experimental setup and fabrication of optical microfiber

In Fig. 1(a), the experimental apparatus includes a light source (amplified spontaneous emission, ASE) in the spectral range of 1528–1603 nm, a spectral analyzer (OSA, Anritsu MS9740A) to record the transmission spectrum with a wavelength resolution of 0.03 nm. The humidity environment in the chamber is generated by the humidifier. The chamber placement of a commercial electronic hygrometer displays an approximate RH value with a humidity resolution of 1% RH. The temperature is kept at about 25 °C. The moisture circumstance is adjusted and controlled in time by a micro fan. The micro fan is used to quickly adjust and control the moisture circumstance to achieve balance [33,34].

The loop humidity sensor comprises a central uniform waist area in the loop part and a tapered area on both sides. Fig. 1(b) shows the manufacturing steps of the RH sensor. An oxyhydrogen generator or a butane flamethrower is used as the high-temperature heat source with 1700–2000 °C and flame width of about 2 mm. When tapering the fiber, the heat source remains fixed (not moving), and the two stepper motors with the original fiber at both ends move in the same direction along with the fiber at different speeds under the precise control of the computer accuracy of 1  $\mu$ m. Hence, the microfiber is produced successfully through automation [28], as shown in Fig. 1 (b, left top) and the corresponding spectral diagram is shown in Fig. 1 (b, right black). The microfiber includes the transition region (length of 5 mm), and the middle uniform region of 10.29  $\mu$ m in diameter and 15 mm in length. We need to make a trade-off between refractive index sensitivity and transmission loss [35]. Therefore, we choose a diameter of about 10  $\mu$ m. Then, the ends of the microfiber after tapering are fixed on two fiber

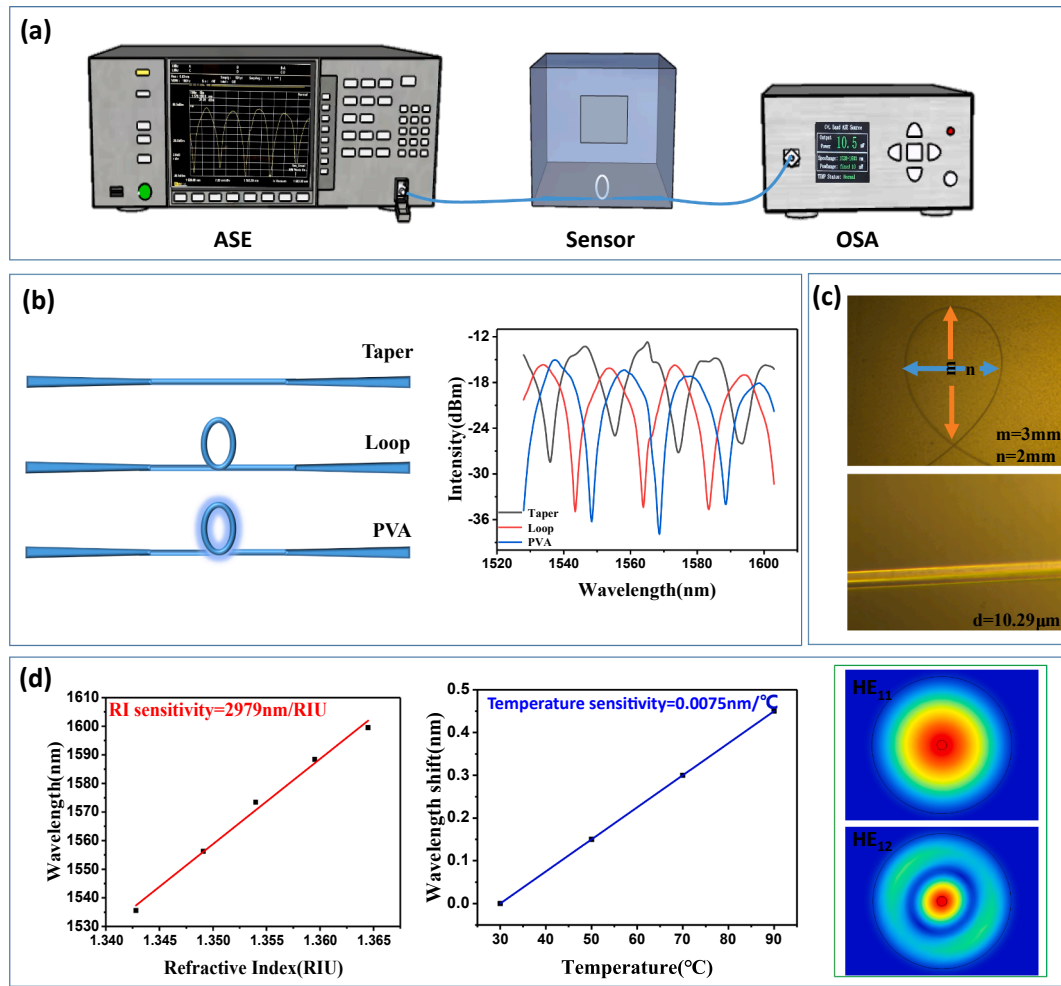


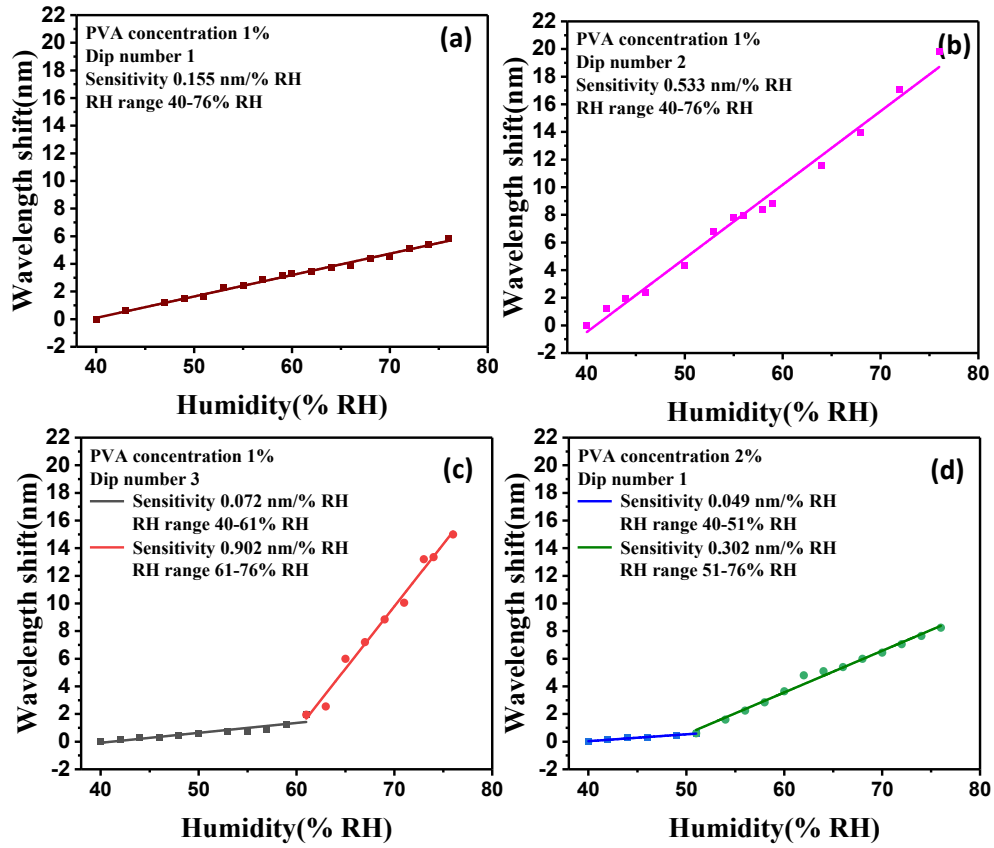
Fig. 1. (a) Schematic diagram of the experiment setup. (b) Making process of the RH sensor structure and the corresponding transmission spectra. (c) Optical micrographs images of the morphology of the sensor. (d) Refractive index, temperature response, and major interferometric modes.

rotators (Thorlabs, HFR007) and placed at a  $60^{\circ}$  angle. The two rotators are operated in the same direction and at the same speed, so that the microfiber intersects into a loop in the uniform area in Fig. 1 (b, left middle), with the short axis of 2 mm and the long axis of 3 mm as shown in Fig. 1 (c). The loop structure tends to be stable under the clamping apparatus force and electrostatic force, and the spectrum is shown in Fig. 1 (b, right red). PVA solution is mixed with PVA particles (Shanghai Macklin Biochemical Co., Ltd., Poly (vinyl alcohol)105) and deionized water, heating in a water bath by heat-collecting magnetic stirring apparatus (Changzhou Chunqiu electronic instrument Co., Ltd., DF-101S), thus PVA particles are completely dissolved in deionized water to form a uniform 2 % (w/w) PVA solution. 1 % (w/w) solution diluted by deionized water. Finally, the loop region is dipped with PVA solution, which forms a uniform and stable PVA film in Fig. 1 (b, left bottom), and then this region is dried by the heating plate at  $60^{\circ}\text{C}$  for 10 mins. The final spectrum of the sensor is shown in Fig. 1 (b, right blue).

The RI response of this sensor is recorded from 1.3428 to 1.3645 with wavelength shifted from 1535.55 to 1599.48 nm, with RI sensitivity as high as  $2979\text{ nm/RIU}$  and the fitting degree of 0.99043, as shown in Fig. 1 (d, left). Considering that the temperature may affect the experimental results, the analysis of the temperature from  $30$  to  $90^{\circ}\text{C}$  is shown that the temperature sensitivity is as low as  $0.0075\text{ nm/}^{\circ}\text{C}$  in Fig. 1 (d, middle), which indicating that this sensor has a smaller temperature cross-sensitivity. The numerical analysis (finite analysis software) in Fig. 1 (d, right) shows the electric field modes distribution of  $\text{HE}_{11}$  and  $\text{HE}_{12}$  mode.

### 3.2. RH measurement

The effects of different concentrations of PVA and dip numbers (related to material thickness) on the loop sensor are investigated. The experimental results are shown in Fig. 2. The comparison with the results of dip once and dip twice (1% concentrations of PVA), indicates that the RH response range is the same ( $40\text{--}76\text{ \%RH}$ ) and the sensitivity of 1 % dip twice (Fig. 2 (b)) can reach  $0.533\text{ nm/\%RH}$ , which is 3.44 times that of 1 % dip once in Fig. 2 (a) ( $0.155\text{ nm/\%RH}$ ). The contrast with the data of 1 % dip twice and 1 % dip three times, the result shows that the sensitivity of 1 % dip twice is lower than that of 1 % dip three times (Fig. 2c) ( $40\text{--}76\text{ \%RH}$ ), but the RH response range is 2.4 times that of 1 % dip three times ( $61\text{--}76\text{ \%RH}$ ). Considering the influence of different concentrations on the response range and sensitivity, the PVA concentration is changed to 2 % in the experiment. Compared with the experimental data of 2 % dip once and 1 % dip once, it is found that the RH sensitivity ( $0.302\text{ nm/\%RH}$ ) of 2 % dip once (Fig. 2d) is improved, but the RH response interval ( $51\text{--}76\text{ \%RH}$ ) is significantly reduced. We summarize the experimental results of Fig. 2 in Table 1. Through the comparison of the three groups of experiments, we can conclude that the RH sensor with the optimal parameter (1 % dip twice) has relatively high sensitivity, and the largest RH response range in this experiment is researched. The RH range monitored by the experiment has met the basically thermal comfort conditions of the human body and prevented the corrosion of electronic equipment [36]. The appropriate RH for human body sensation is about  $40\text{ \%}$  to  $70\text{ \%RH}$  [37]. When the RH of



**Fig. 2.** (a) PVA concentration 1% and dip once with the linear fitting of the wavelength shift. (b) PVA concentration 1% and dip twice with the linear fitting of the wavelength shift. (c) PVA concentration 1% and dip three times with the linear fitting of the wavelength shift. (d) PVA concentration 2% and dip once with the linear fitting of the wavelength shift.

**Table 1**  
RH measurement the results of Fig. 2.

PVA concentration	Dip numbers	RH range (% RH)	Sensitivity (nm/% RH)
1%	1	40–76	0.155
1%	2	40–76	0.533
1%	3	40–61	0.072
		61–76	0.902
2%	1	40–51	0.049
		51–76	0.302

surroundings is equal to or less than 65 %RH, the metal is not easy to corrosion, but more than 65 %RH, the metal could rust even in a clean atmosphere. The laboratory experimental results show that the corrosion critical humidity of iron is 65 %RH, and that of zinc is 70 %RH. Thus, RH monitoring is needed in industrial areas [21]. The proposed sensor in the manuscript can monitor the RH range of 40–76 %RH, which can be used for RH detection critical points (65 % and 70 %RH).

The measured transmission spectra response is shown in Fig. 3 (a) corresponding to Fig. 2 (b) (1 % dip twice). Meanwhile, the intensity variation is shown in Fig. 3 (b), with the intensity response of  $-0.213$  dBm/%RH, as a result of the loss increasing due to adsorption. Fig. 3 (c) depicts that the sensor has a response time of 1.8 s and a recovery time of 4 s. To explore the stability of the sensor, the RH is controlled at a stable value, and the wavelength is recorded for 30 mins with an interval of 5 min in Fig. 3 (d). The results indicate that the wavelength is only small fluctuation, which indicates that the sensor has high stability. Fig. 3 (e) shows the relationship between the wavelength response of the fiber and the RH changes. The hysteresis error is calculated from the experimental results [38,39]. The maximum humidity hysteresis is 8.7 % when the RH

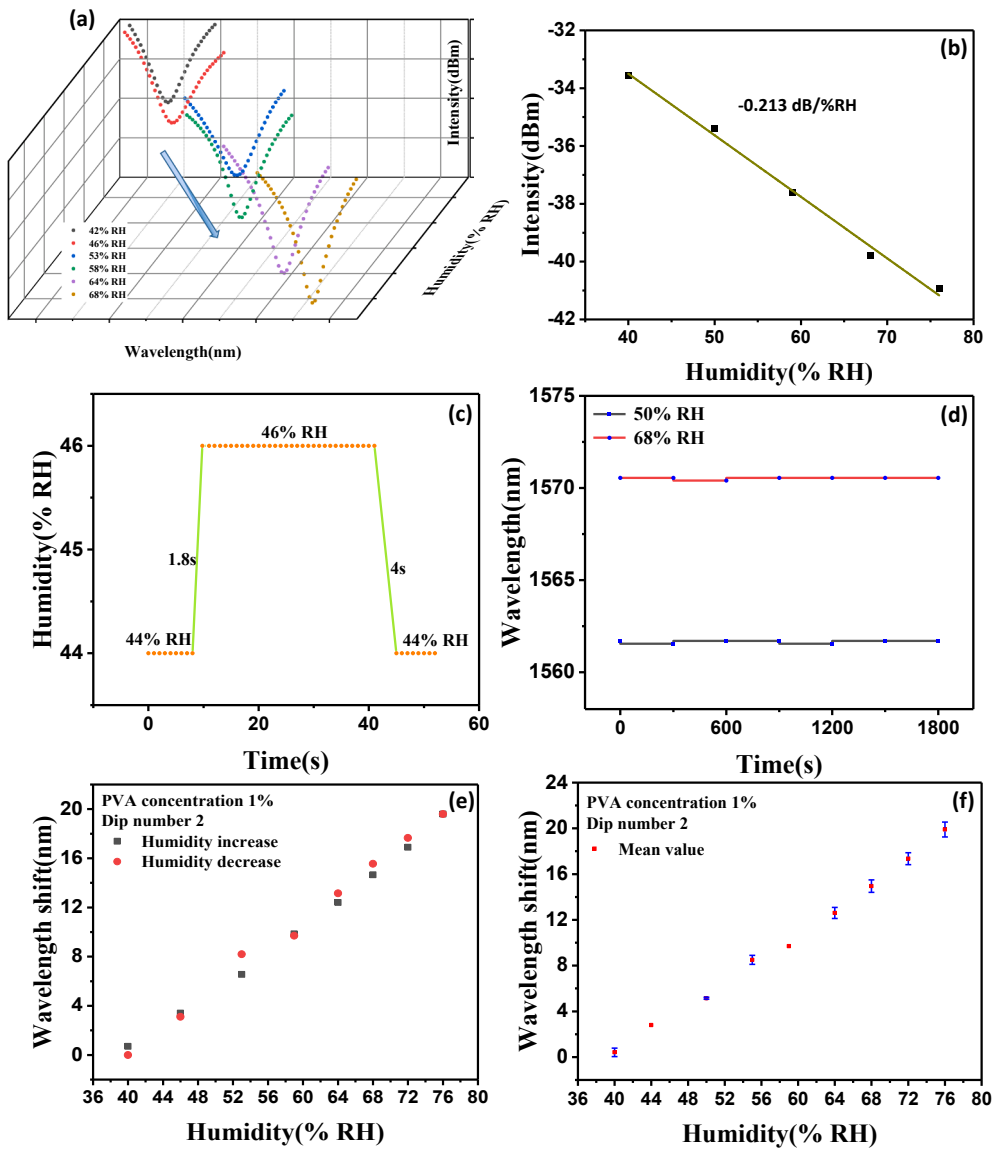
is 53 %RH, and the maximum humidity hysteresis is 4.8 % when the RH is 68 %RH. There is a weak hysteresis phenomenon due to external vibrations or slight changes in the environment. Fig. 3 (f) shows the repeated experimental results (three times) of the sensor including standard deviation (s), further confirming the good repeatability of the sensor [40,41]. The formula for calculating the standard deviation is

$$s = \sqrt{\frac{\sum_{i=1}^r (R_i - \bar{R})^2}{r - 1}} \quad (9)$$

where  $R_i$  is the wavelength drift of each target measurement result;  $\bar{R}$  is the average of wavelength drift, and  $r$  is the number of measured data for each concentration. Thus, the standard deviations are calculated with experimental data. Through calculating, the standard deviation is about 0.2 nm indicating that the sensor has good repeatability. Table 2 shows RH sensors based on PVA film with different structures. By comparison, our sensor has good advantages.

#### 4. Conclusion

In this work, we propose and demonstrate the RH sensor based on a loop microfiber modified with PVA film. The experiment results show that the sensor has high RH sensitivity and low-temperature cross-sensitivity. As a sensitized material (PVA), the RH sensor is confirmed that the sensitivity is 0.533 nm/%RH and  $-0.213$  dBm/%RH in the RH range of 40%–76% with the response time of 1.8 s and the recovery time of 4 s. This proposed RH sensor has the advantages of low cost, high sensitivity, good stability, fast response, and easy manufacture, which brings a new platform for RH monitoring in the environment.



**Fig. 3.** (a) Transmission spectrum response of the coated loop microfiber under different RH levels. (b) Linear fitting of the intensity modulation. (c) Response time (1.8 s) and recovery time (4 s). (d) Stability test of the proposed sensor. (e) The relationship between the wavelength response of the fiber and the RH changes. (f) The repeated experimental results of the sensor.

**Table 2**

RH sensors based on PVA film with different structures.

Structure and material	RH sensitivity	Temperature sensitivity	Cost	Manufacturing difficulty	Publication date	Reference
PVA film with an implemented electronic module	-11.5 MHz (60% RH)	medium	medium	difficulty	2000	20
PVA film on a single-mode side-polished fiber	0.53 dB /%RH	low	high	difficulty	2004	21
Knob-integrated fiber Bragg grating with PVA film	1.2 dB/%RH	8.2 pm/°C	high	difficulty	2015	22
Graphene oxide quantum dots and PVA film composite coated tapered no-core fiber combined with a fiber Bragg grating	143.27 pm/% RH	9.21 pm/°C	high	difficulty	2020	24
Spiral micro-structured single mode-multimode-single mode fiber structure with PVA film	650 pm/%RH	medium	high	difficulty	2020	25
No-core multimode interferometer coated with Al <sub>2</sub> O <sub>3</sub> -PVA composite films	587 pm/%RH	medium	high	difficulty	2020	26
Taper fiber covered with the PVA film	119.4 pm/%RH	29 pm/°C	low	easy	2021	27
Loop microfiber coated with PVA film	533 pm/%RH	7.5 pm/°C	low	easy	2021	this paper



## CRediT authorship contribution statement

**Dandan Sun:** Conceptualization, Methodology, Writing – review & editing, Formal analysis, Funding acquisition. **Jiguang Chen:** Investigation, Data curation, Writing – original draft, Resources. **Yongming Fu:** Validation, Investigation, Formal analysis, Supervision, Funding acquisition. **Jie Ma:** Validation, Investigation, Formal analysis, Supervision, Funding acquisition.

## Declaration of Competing Interest

The authors declare that they have no known competing financial interests or personal relationships that could have appeared to influence the work reported in this paper.

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